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Application No. 10/808,991 TRANSMITTÄL FORM Filing Date March 24, 2004 (to be used for all correspondence after initial filing) First Named Inventor Vijay Tewari Art Unit unknown **Examiner Name** unknown Total Number of Pages in This Submission Attorney Docket Number 42P17568 **ENCLOSURES** (check all that apply) After Allowance Communication to Group Fee Transmittal Form \_\_ Drawing(s) Appeal Communication to Board of Appeals and Interferences Fee Attached Licensing-related Papers Appeal Communication to Group Petition Amendment / Response (Appeal Notice, Brief, Reply Brief) After Final Petition to Convert a Provisional Application **Proprietary Information** Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Status Letter Extension of Time Request Other Enclosure(s) **Terminal Disclaimer** (please identify below): **Express Abandonment Request** Request for Refund 79 cited references Information Disclosure Statement Return postcard PTO/SB/08 CD, Number of CD(s) Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Remarks Basic Filing Fee Declaration/POA Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Paul A. Mendonsa, Reg. No. 42,879 Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature Date February 17, 2005 **CERTIFICATE OF MAILING/TRANSMISSION** 

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name	Katherine Jennings		
Signature	(Latherbe/Clennings)	Date	February 17, 2005

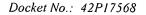
Based on PTO/SB/21 (04-04) as modified by Blåkely, Soldroff Paylor & Zafman (wir) 06/04/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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FRANKE EE TRANSMITTAL for FY 2005		Complete if Known
TRADENTEE I KANSIVII I AL	Application Number	10/808,991
for FY 2005	Filing Date	March 24, 2004
Patent fees are subject to annual revision.	First Named Inventor	Vijay Tewari
Applicant claims small entity status. See 37 CFR 1.27.	Examiner Name	unknown
TOTAL AMOUNT OF DAVMENT	Art Unit	unknown
TOTAL AMOUNT OF PAYMENT (\$)	Attorney Docket No.	42P17568
METHOD OF PAYMENT (check all that apply)		
☐ Check ☐ Credit card ☐ Money Order ☒ None	Other (please identify):	
Deposit Account Deposit Account Number: 02-2666	Deposit Account Name: I	Blakely, Sokoloff, Taylor & Zaf
For the above-identified deposit account, the Directo	or is hereby authorized to: (ch	eck all that apply)

# METHOD OF PAYMENT (check all that apply) □ Check □ Credit card □ Money Order ☒ None □ Other (please identify): □ Deposit Account Deposit Account Number: 02-2666 □ Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) □ Charge fee(s) indicated below □ Charge fee(s) indicated below, except for the filing fee ☒ Charge any additional fee(s) or underpayment of fee(s) ☒ Credit any overpayments under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. FEE CALCULATION

Large E	ntity	Small	Entity		
Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)	r de Bescription	i ee i alu
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	-
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255		Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451		Petition to institute a public use proceeding	
1460	130	2460		Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810		For each additional invention to be examined (37 CFR § 1.129(b)	)
Other t	fee (spe	cify) _			
	` '	,		SUBTOTAL (2)	<del>)                                    </del>

SUBMITTED BY					Complete (if applicable)		
Name (Print/Type)	Paul A Mendonsa	Registration No. (Attorney/Agent)	42,879	Telephone	(503) 439-8778		
Signature	Harl Whendows			Date	02/17/05		



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

VIJAY TEWARI, ET AL.

Application No.: 10/808,991

Filed: March 24, 2004

For: USE OF A VIRTUAL MACHINE TO

EMULATE A HARDWARE DEVICE

Art Group: unknown

Examiner: unknown

#### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKERY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: March 24, 2004

Paul A. Mendonsa, Reg. No. 42,879

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025

Telephone: (503) 439-8778

\_\_\_\_\_

Date

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet | of 17

Complete if Known					
Application Number	10/808,991				
Filing Date	March 24, 2004				
First Named Inventor	Vijay Tewari				
Art Unit	unknown				
Examiner Name	unknown				
Attorney Docket Number	42P17568				

			U.S. PATE	NT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-3,699,532	10-17-1972	SCHAFFER, ET AL	
	2	US-3,993,449	10-17-1976	ATTANASIO, ET AL	
	3	US-4,037,214	07-19-1977	BIRNEY, ET AL	
	4	US-4,162,536	07-24-1979	MORLEY	
	5	US-4,207,609	06-10-1980	LUIZ, ET AL	
	6	US-4,247,905	01-27-1981	YOSHIDA, ET AL	
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	8	US-4,278,837	07-14-1971	BEST	
	9	US-4,307,447	12-22-1981	PROVANZANO, ET AL	
	10	US-4,319,233	03-09-1982	MATSUOKA, ET AL	
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	16	US-4,521,852	06-04-1985	GUTTAG	
	17	US-4,571,672	02-18-1986	HATADA, ET AL	
	18	US-4,621,318	11-04-1986	MAEDA	
	19	US-4,759,064	07-19-1988	CHAUM	
	20	US-4,795,893	01-03-1989	UGON	

	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines,		
	No.1	Country Code³ - Number⁴ - Kind Code⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	Τ°	
	21	DE 42 17 444 A1	05-26-1992	BEETZ, ET AL			
	22	EO 0 473 913 A2	07-17-1991	FARRELL			
	23	EO 0 600 112 A1	11-30-1992	FUCHS, ET AL			
	24	EO 0 602 867 A1	12-06-1993	PROTHEROE, ET AL			
	25	EP 0 930 567 A2	12-22-1998	BREWER			
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	29	EP 1 085 396 A1	09-17-1999	PROUDLER			
	30	EP 1 146 715 A1	03-26-2001	WANG, ET AL			

Examiner	Date	
Signature	Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet | 2 | of | 12

Complete if Known				
Application Number	10/808,991			
Filing Date	March 24, 2004			
First Named Inventor	Vijay Tewari			
Art Unit	unknown			
Examiner Name	unknown			
Attorney Docket Number	42P17568			

			U.S. PATE	NT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	31	US-4,802,084	01-31-1989	IKEGAYA, ET AL	
	32	US-4,825,052	04-25-1989	CHEMIN, ET AL	
	33	US-4,907,270	03-06-1990	HAZARD	
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	42	US-5,230,069	06-20-1993	BRELSFORD, ET AL	
	43	US-5,237,616	08-17-1993	ABRAHAM, ET AL	
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Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines,			
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T°		
	51	EP 1 209 563 A2	11-20-2001	ENGLAND, ET AL				
	52	EP1 271 277 A2	07-09-2001	BEHAR				
	53	EP1 055 989 A1	05-28-1999	PROUDLER, ET AL				
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	55	EP 0 892 521 A2	07-15-0998	ZAMEK				
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	60	WO 01/27821 A2	04-19-2001	CHEN, ET AL		Γ		

Examiner	Date
C:	
Signature	Considered

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>4</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>4</sup>Applicant is to place a check mark here if English language Translation is attached.

Complete if Known Substitute for form 1449A/PTO Application Number 10/808,991 INFORMATION DISCLOSURE Filing Date March 24, 2004 STATEMENT BY APPLICANT First Named Inventor Vijay Tewari Art Unit (use as many sheets as necessary) unknown Examiner Name unknown 12 **Sheet** Attorney Docket Number 42P17568

			U.S. PATE	NT DOCUMENTS	
Examiner Initials*	Cite No.'	Document Number  Number - Kind Code² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	61	US-5,421,006	05-30-1995	JABLON, ET AL	
	62	US-5,434,999	06-01-1995	GOIRE	
	63	US-5,437,033	07-25-1995	INOUE, ET AL	
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	65	US-5,455,909	10-03-1995	BLOMGREN, ET AL	
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	71	US-5,504,922	04-02-1996	SEKI, ET AL	
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Examiner C	Cite	Foreign Patent Document			Pages, Columns, Lines,				
Initials*	No.1	Country Code³ - Number⁴ - Kind Code⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	™			
	81_	WO 01/63994 A2	08-30-2001	VAN SANT, ET AL					
	82	WO 01/75564 A2	10-11-2001	HERBERT, ET AL					
	83	WO 01/75565 A2	10-11-2001	ELLISON, ET AL					
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	85	WO 02/17555 A2	02-28-2002	FORD					
	86	WO 02/060121 A1	08-01-2002	GRAWROCK					
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	88	WO 95/24696	09-14-1995	MOONEY, ET AL					
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	90	WO 98/12620		-					

Examiner	 Date	
Signature	 Considered	

'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 4 of 12

T		Complete if Known	
ŀ	Application Number	10/808,991	
ı.	Filing Date	March 24, 2004	
ľ	First Named Inventor	Vijay Tewari	
	Art Unit	unknown	
•	Examiner Name	unknown	
ľ	Attorney Docket Number	42P17568	

		U.S. PATE	NT DOCUMENTS	
	Document Number	Publication Date		Pages, Columns, Lines, Where
Examiner Cite Initials* No.1	Number - Kind Code² (if known)	or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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'95	US-5,604,805	02-18-1997	BRANDS	
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.99	US-5,633,929	05-27-1997	KALISKI, JR.	
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite	Foreign Patent Document			Pages, Columns, Lines,			
	No.	Country Code - Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear			
	111	WO 98/34365	08-06-1998	DEVANBU				
l	112	WO 98/44402	10-08-1998	BRAMHILL, ET AL				
	113	WO 99/05600	02-04-1999	GARST, ET AL				
	114	WO 99/09482	02-25-1999	BAUSCH				
	115	WO 99/18511	04-15-1999	EDRICH				
	116	WO 99/57863	11-11-1999	HAYES, ET AL				
ļ	117	WO 99/65579	12-23-1999	BOND				
	118	WO 02/01794 A2	01-03-2002	ELLISON, ET AL				
	!							

Examiner	Date	
Signature	Considered	

'Applicant's unique citation designation number (optional):See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04:Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3):For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possib@pplicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO			Complete if Known		
		Application Number	10/808,991		
INFORMATION DISCLOSURE			SUKE	Filing Date	March 24, 2004
STATEMENT BY APPLICANT			CANT	First Named Inventor	Vijay Tewari
	(use as many sheets as necessary)			Art Unit	unknown
	1	1 1		Examiner Name	unknown
Sheet	5	of	12	Attorney Docket Number	42P17568

			U.S. PATE	ENT DOCUMENTS	
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	119	US-5,752,046	05-12-1998	OPRESCU, ET AL	
	120	US-5,757,919	05-26-1998	HERBERT, ET AL	
	121	US-5,764,969	06-09-1998	KAHLE	
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	132	US-5,872,994	02-16-1999	AKIYAMA, ET AL	
	133	US-5,890,189	03-30-1999	NOZUE, ET AL	
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Examiner	Date	
Signature	Considered	

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Sheet | 6 | of | 12

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Application Number	10/808,991			
Filing Date	March 24, 2004			
First Named Inventor	Vijay Tewari			
Art Unit	unknown			
Examiner Name	unknown			
Attorney Docket Number	42P17568			

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Application Number	10/808,991	_		
Filing Date	March 24, 2004			
First Named Inventor	Vijay Tewari			
Art Unit	unknown			
Examiner Name	unknown			
Attorney Docket Number	42P17568			

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INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number 10/808,991

Filing Date March 24, 2004

First Named Inventor Vijay Tewari

Art Unit unknown

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#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10/808,991			
Filing Date	March 24, 2004			
First Named Inventor	Vijay Tewari			
Art Unit	unknown			
Examiner Name	unknown			
Attorney Docket Number	42P17568			

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INFORMATION DISCLOSURE	Application Number	10/808,991
INFORMATION DISCLOSURE	Filing Date	March 24, 2004
STATEMENT BY APPLICANT	First Named Inventor	Vijay Tewari
	Art Unit	unknown
	Examiner Name	unknown
Sheet 10 of 12	Attorney Docket Number	42P17568

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STATEMENT E	BY APPLICANT	First Named Inventor	Vijay Tewari	
		Art Unit	unknown	
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Sheet	of 12	Attorney Docket Number	42P17568	

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		Art Unit	unknown
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Sheet 12	of 12	Attorney Docket Number	42P17568

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